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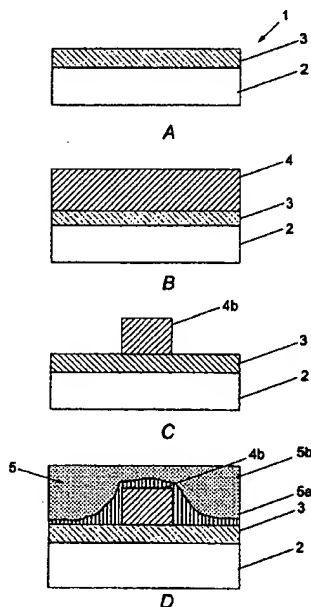
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- (71) Applicant (for all designated States except US): **KY-MATA LIMITED** [GB/GB]; Starlaw Park, Starlaw Road, Livingston, West Lothian EH43 8SF (GB).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): **BONAR, James, Ronald** [GB/GB]; 48 Sandielands Avenue, Erskine, Renfrewshire PA8 7BS (GB). **LAMING, Richard, Ian**
- (74) Agent: **PACITTI, Paolo**; Murgitroyd & Company, 373 Scotland Street, Glasgow G5 8QA (GB).
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[Continued on next page]

(54) Title: **OPTICAL WAVEGUIDE WITH A COMPOSITE CLADDING LAYER AND METHOD OF FABRICATION THEREOF**



(57) Abstract: An optical waveguide (1) with a composite cladding layer (5) comprises a substrate (2), a waveguide core (4b) formed on the substrate (2) and at least one upper cladding layer (5a, 5b) embedding said waveguide core (4b). The composite cladding layer (5) consists of a cladding portion (5a) formed in proximity to the waveguide channel core (4b) with a first composition and at least one cladding outer portion (5b) which substantially embeds said first portion (5a) and which has a second composition. The composition of each of the cladding interface layer (5a) and the cladding outer layer (5b) is selected such that their refractive indices are substantially equal whilst their other characteristics, for example, the temperature range over which they consolidate and soften differ.

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OPTICAL WAVEGUIDE WITH A COMPOSITE CLADDING LAYER AND
METHOD OF FABRICATION THEREOF

This invention relates to an optical waveguide with a composite cladding and to a method of fabricating such a waveguide. In particular, the invention relates to an optical waveguide in which a cladding layer with a structured composition embeds a waveguide core, the cladding composition being varied with the depth of the cladding layer.

Planar optical waveguides are usually fabricated by forming several layers on top of a substrate, usually a silica wafer. The layers can be deposited by a variety of techniques, for example, plasma enhanced chemical vapour deposition (PECVD), low pressure chemical vapour deposition (LPCVD), and flame hydrolysis deposition (FHD). In the FHD fabrication process, the layers which make up the waveguide are first deposited as a layer of fine glass particles or "soot". The soot is subsequently heated in situ so that the particles fuse to form a consolidated glass layer.

The composition of each layer of the waveguide is usually selected so that certain desirable

1 characteristics are obtained. For example, so that the
2 refractive index of the layer is uniform within the
3 layer and/or matches the refractive index of other
4 layers of the waveguide. Another desirable
5 characteristic is for the coefficient of expansion of
6 each layer to match that of the substrate and/or
7 underlying layer. This minimises the amount of warpage
8 that occurs as the waveguide is heated during its
9 fabrication and post-fabrication processing.

10

11 Once deposited, a glass layer is heated so that it
12 consolidates into a denser glass layer. Individual
13 layers may be consolidated immediately after they are
14 deposited or several layers may be deposited and
15 consolidated together. If a layer is heated to a
16 sufficiently high temperature in excess of its
17 consolidation temperature, the viscosity of the
18 consolidated layer is reduced until eventually the
19 glass is able to flow. The smoother the surface of any
20 layer of the waveguide, the less light is scattered at
21 that surface. Thus, heating a layer to its softening
22 temperature for a period of time is desirable if a
23 high-quality waveguide is to be fabricated.

24

25 During the consolidation of a layer a temperature cycle
26 is used in which at one stage the layer is heated to
27 the "softening" temperature, which is significantly
28 higher than the actual consolidation temperature. This
29 enhanced temperature stage ensures that the glass
30 forming the layer is sufficiently softened to flow and
31 form a relatively smooth and level layer.

32

33 To ensure that the underlying layers are not deformed
34 during the consolidation and/or softening of subsequent
35 layers, the consolidation and softening temperatures of
36 each subsequent layer are usually less than the

1 softening temperature of the underlying layer.

2

3 It is desirable, moreover, for the full consolidation
4 of any overlying layer not to occur before the
5 underlying layer has fully consolidated as this could
6 potentially result in gas expelled from the lower layer
7 being trapped under the overlying consolidated layer.
8 Such "outgassing" occurs as the deposited soot layer
9 begins to consolidate and the open network of pores
10 formed by the deposited soot begins to collapse. The
11 density of the glass layer is increased during its
12 consolidation phase as any gas pockets are expelled.

13

14 If a layer becomes fully consolidated and further
15 outgassing occurs in the underlying layer, the gas is
16 trapped beneath the consolidated layer. Moreover, in
17 waveguide devices such as Y-branch splitters and
18 arrayed waveguide gratings (AWGs), narrow junctions
19 with gaps of the order of 1 micron are formed where,
20 for example, two waveguides meet and gas can become
21 trapped in such gaps if the pore network of any
22 cladding layer collapses prematurely.

23

24 To ensure that gas is not trapped in such regions as
25 the glass consolidates, the cladding is usually
26 deposited in multiple stages using a slowly rising
27 temperature gradient. However, this greatly increases
28 the complexity of the cladding stage of the waveguide
29 fabrication. It is therefore desirable if a waveguide
30 can be fabricated by depositing several layers and
31 subsequently heating these layers together in a single
32 consolidation stage.

33

34 To achieve high quality waveguides which can be
35 consolidated in such a manner it is desirable for the
36 composition of each layer to be carefully selected so

1 that its consolidation and softening temperatures are
2 controlled. Also, multimode devices which have large
3 waveguide geometries ($>10\text{ }\mu\text{m}$) require thick cladding
4 layers which are also susceptible to gas trapping.
5 Large aspect ratio devices can also be encountered for
6 narrow slot devices; e.g. couplers with $8\text{ }\mu\text{m}$ deep
7 waveguides and $1\text{ }\mu\text{m}$ edge to edge spacing. Surface
8 relief gratings also require the 'filling' of narrow
9 corrugations.

10

11 The present invention seeks to obviate or mitigate the
12 aforementioned disadvantages by providing a waveguide
13 with a graded, or composite cladding layer.

14

15 A first aspect of the invention seeks to provide an
16 optical waveguide with a composite cladding layer. A
17 second aspect of the invention seeks to provide a
18 method of fabricating an optical waveguide with a
19 composite cladding layer.

20

21 According to the first aspect of the invention, an
22 optical waveguide is provided having

23

a substrate;

24

a waveguide core formed on the substrate and
25 embedded by a cladding layer, wherein the cladding
26 layer composition is varied so that the composition of
27 a cladding interface portion located in the proximity
28 of an interface between the waveguide core and the
29 cladding is different from the composition of at least
30 one cladding outer portion.

31

32 Preferably, the consolidation temperature of the
33 cladding interface portion is lower than the
34 consolidation temperature of the said at least one
35 cladding outer portion.

36

1 More preferably, the softening temperature of the
2 cladding interface portion is lower than the
3 consolidation temperature of the said as least one
4 cladding outer portion.

5

6 Preferably, said at least one cladding outer portion
7 embeds said cladding interface portion.

8

9 The cladding layer composition may be varied by
10 changing the concentration of at least one dopant ion
11 species in the cladding layer.

12

13 Preferably, the dopant concentration of the cladding
14 layer varies as a function of distance from the
15 substrate.

16

17 More preferably, the dopant concentration of the
18 cladding layer varies approximately as a function of
19 distance from the interface between the cladding layer
20 and the waveguide core.

21

22 The substrate may be a silicon wafer. The substrate
23 may further comprise at least one intermediate layer
24 formed thereon. At least one intermediate layer may be
25 a cladding layer. Preferably, at least one
26 intermediate layer is a buffer layer which comprises a
27 thermally oxidised layer of the substrate.

28

29 The cladding layer may be doped at least one ion
30 species taken from the group consisting of: a
31 transition element, a rare earth ion species and/or a
32 heavy metal ion species.

33

34 Preferably, the cladding layer is doped with at least
35 one ion species taken from the group consisting of:
36 phosphorus, boron, titanium, tantalum, aluminium,

1 lanthanum, niobium, and/or zirconium.

2

3 The volume of the cladding interface portion may be
4 substantially less than the volume of said at least one
5 cladding outer portion.

6

7 Preferably, the depth of the cladding interface portion
8 upon the substrate is substantially less than the
9 maximum depth of the said at least one cladding outer
10 portion.

11

12 The cladding layer may be doped with Boron and
13 Phosphorus. Preferably, the relative dopant
14 concentrations of Boron and Phosphorus in the cladding
15 interface portion and the cladding outer portion
16 provide a homogeneous refractive index throughout the
17 cladding layer.

18

19 Preferably, the coefficient of thermal expansion of the
20 cladding layer is substantially the same as the
21 coefficient of thermal expansion of the substrate.

22

23 Preferably, the cladding layer composition is smoothly
24 varied between said cladding interface portion and said
25 at least one cladding outer portion.

26

27 According to a second aspect of the invention, a method
28 for fabricating an optical waveguide is provided, the
29 method having the steps of:

30 forming a substrate;

31 forming a waveguide core on the substrate; and

32 forming a cladding layer to embed said waveguide
33 core wherein the cladding layer composition is varied
34 so that the composition of a cladding interface portion
35 located in the proximity of an interface between the
36 waveguide core and the cladding layer is different from

1 the composition of at least one cladding outer portion.

2

3 The step of forming said substrate may include the step
4 of forming an intermediate layer on said substrate.

5 The intermediate layer so formed is preferably a buffer
6 layer.

7

8 The cladding layer may be formed by depositing a
9 particulate cladding soot and subsequently
10 consolidating the cladding soot.

11

12 Preferably, the cladding layer forming the cladding
13 interface portion is not consolidated before the said
14 at least one cladding outer portion is deposited.

15

16 Preferably, the cladding layer is consolidated in a
17 single process step.

18

19 The cladding interface portion may be at or above its
20 softening temperature when the said at least one
21 cladding outer portion reaches its consolidation
22 temperature.

23

24 The consolidation temperature of the cladding interface
25 portion is lower than the consolidation temperature of
26 the said at least one cladding outer portion.

27

28 The waveguide core and/or cladding are deposited using
29 a flame hydrolysis deposition process and/or a plasma
30 enhanced chemical vapour deposition process and/or a
31 low pressure chemical vapour deposition process.

32

33 At least one portion of said cladding layer may be
34 doped with at least one dopant ion species taken from
35 the group consisting of:

36 a transition element, a rare earth element and/or

1 a heavy metal element.

2

3 Preferably, at least one portion of said cladding layer
4 is doped with at least one dopant ion species taken
5 from the group consisting of:

6 phosphorus, boron, titanium, tantalum, aluminium,
7 lanthanum, niobium, zirconium.

8

9 Preferably, the concentrations of the selected dopant
10 ion species provide a refractive index for the buffer
11 layer and cladding interface layer which differs from
12 the refractive index of the waveguide core by between
13 0.2-2%.

14

15 Preferably, during the consolidation of the cladding
16 layer, the consolidation conditions include a stage
17 where the temperature remains above the softening
18 temperature of the cladding interface portion.

19

20 The present invention will be further illustrated by
21 way of example, with reference to the accompanying
22 drawings in which:-

23

24 Fig 1 is a flow chart illustrating the fabrication
25 steps of an optical waveguide according to a preferred
26 embodiment of the invention;

27

28 Figs 2A to 2D are schematic diagrams showing the
29 formation of an optical waveguide according to a
30 preferred embodiment of the invention;

31

32 Fig 3 illustrates the variation of the refractive index
33 of the dopants TiO_2 , Al_2O_3 , GeO_2 , P_2O_5 , B_2O_3 , and F as a
34 function of the dopant concentration;

35

36 Fig 4 illustrates how the coefficient of expansion of

1 an SiO₂ layer varies as the dopant concentration of
2 GeO₂, P₂O₅, B₂O₃ and TO₂ varies;

3
4 Fig 5 illustrates the variation of the softening
5 temperature of the dopant concentration of GeO₂, P₂O₅,
6 B₂O₃;

7
8 Fig 6 illustrates how the concentration of dopants
9 varies within the cladding layer in one embodiment of
10 the invention;

11
12 Fig 7 illustrates how the consolidation and softening
13 temperatures of the cladding layer and core layer vary
14 in one embodiment of the invention; and

15
16 Fig 8 illustrates how the temperature cycle varies
17 during the fabrication of the cladding layer according
18 to one embodiment of the invention.

19
20 As illustrated in Figs 1 and 2, in one embodiment of
21 the invention, an optical waveguide 1 has a composite
22 cladding layer 5 embedding a waveguide core 4b. The
23 waveguide 1 is fabricated in a series of steps as is
24 shown in Fig 1.

25
26 Referring now to Fig 2A, an intermediate layer 3, for
27 example a buffer or under-cladding layer, is formed on
28 top of a substrate 2. In this example, a SiO₂ buffer
29 layer 3 is formed by thermally oxidising a silicon
30 substrate 2. Alternatively, more than one intermediate
31 layer 3 may be formed by any suitable fabrication
32 process.

33
34 Fig 2B sketches how a core layer 4 is formed on top of
35 the buffer layer 3. Suitable fabrication processes for
36 the core layer 4 and/or the buffer layer 3 include, for

1 example, a flame hydrolysis deposition process (FHD).
2 In the FHD process, a soot layer of fine, particulate
3 glass material(s) is deposited. Other suitable
4 deposition processes may be used including, for
5 example, plasma enhanced chemical vapour deposition
6 (PECVD) and low pressure chemical vapour deposition
7 (LPCVD) or a combination of deposition processes. The
8 deposited layers are then consolidated either before
9 the next layer is deposited or subsequently. Suitable
10 consolidation processes include heating the optical
11 waveguide 1 in a furnace or repassing an FHD burner
12 flame over the deposited soot so that the soot layer
13 consolidates.

14
15 The layers of the optical waveguide 1 typically include
16 glass materials such as, for example, germanium and/or
17 silicon oxides, in particular GeO_2 and/or SiO_2 .

18
19 In one embodiment of the invention, the glass materials
20 are doped during the deposition stage. Typical
21 dopants, chosen for their effect on the thermal
22 characteristics, refractive index and coefficient of
23 expansion of the layer are selected quantities of, for
24 example, boron, phosphorus, and/or titanium compounds
25 (B_2O_3 , P_2O_5 , TiO_2). Certain characteristics of the glass
26 are enhanced by introducing other transition elements
27 and/or heavier dopant species, such as rare earths
28 and/or heavy metals, which may be introduced using
29 specialised techniques, for example an aerosol doping
30 technique such as disclosed in United Kingdom Patent
31 Application No.9902476.2. Other suitable dopants which
32 produce desirable properties include, for example,
33 tantalum, aluminium, lanthanum, niobium, and/or
34 zirconium.

35
36 Fig 2C illustrates how a waveguide core 4b is formed by

1 removing unwanted portions 4a of the core layer 4 using
2 a suitable etching technique, for example
3 photolithographic process(es) and dry etching. The
4 remaining core layer 4 forms the waveguide core 4b.

5
6 Fig 2D sketches how the waveguide core 4b is then
7 embedded in a cladding layer 5. To achieve certain
8 desirable characteristics, the composition of the
9 cladding layer 5 is varied so that it has a composite
10 structure. It is desirable for the composition to be
11 varied smoothly in the invention, but alternatively,
12 the composition may be varied more abruptly. The
13 cladding layer 5 is formed generally by depositing and
14 consolidating a glass material.

15
16 Any suitable deposition process, for example FHD,
17 PECVD, LPCVD, is used to deposit a cladding layer 5 of
18 glass material about the waveguide core 4b. The
19 cladding layer 5 may be deposited in one stage or more
20 than one stage, and the deposition may be varied
21 smoothly or abruptly between stages or within any one
22 stage. A cladding interface portion 5a has a
23 substantially consistent composition which differs from
24 a the composition of the cladding outer portion 5b.
25 Additional cladding portions may be provided, for
26 example, by a transition region between the two
27 cladding portions.

28
29 In one embodiment of the invention, glass material
30 forming the cladding interface portion 5a is deposited
31 about the waveguide core 4b and over a part of the
32 surrounding underlying surface presented by the
33 substrate 2 or the buffer layer 3 to form a cladding
34 interface portion. For example, a soot layer of
35 suitable glass cladding material can be deposited
36 around the core waveguide 4b using FHD to form the

1 cladding interface portion 5a.

2

3 The composition of the cladding is varied during the
4 deposition process, for example, by varying the
5 concentration of dopants within the glass material, so
6 that at least one cladding outer portion 5b is formed
7 with a composition differing from that of the cladding
8 interface portion 5a. Using a FHD process, the
9 cladding composition is varied during the deposition
10 stage. The dopant concentration is varied in relation
11 to the depth of the cladding layer 5 and/or in relation
12 to the proximity of the waveguide core 4b.

13

14 By varying the composition of the cladding layer 5 by
15 introducing dopants, the cladding layer 5 can be
16 selected to possess certain desirable characteristics.

17

18 In this embodiment of the invention, the glass
19 materials are boron and phosphorous doped SiO_2 .
20 However, other suitable glass materials may be used
21 such as, for example, other silicon and/or germanium
22 oxides, which may be doped to achieve certain desired
23 properties. Dopants typically include transition
24 elements and may further include rare earths and/or
25 heavy metal elements. Dopants such as phosphorus,
26 boron, titanium, tantalum, aluminium, lanthanum,
27 niobium, and/or zirconium may be used. These dopants
28 are usually chosen for their effect on the thermal
29 characteristics, refractive index and coefficient of
30 expansion.

31

32 In this embodiment of the invention, the glass
33 materials are doped during the FHD deposition stage,
34 however the doping may be achieved using other
35 conventional methods.

36

1 The cladding layer 5 has the same refractive index as
2 the refractive index of the buffer layer 3 in this
3 embodiment of the invention and has a consolidation
4 temperature T_c in the range lower than that of the
5 softening temperature T_s of the waveguide core 4b.

6
7 Figs 3 to 5 illustrate the effect the dopant
8 concentration has on the refractive index, coefficient
9 of thermal expansion and softening temperatures of a
10 silica cladding material. Fig 5 indicates that the
11 higher the concentration of phosphorus, boron and
12 germanium oxide in a layer, the lower the softening
13 temperature. Fig. 3 sketches how the presence of such
14 dopants also affects the refractive index of the
15 cladding material: increasing the quantity of
16 phosphorus and germanium oxide increases the refractive
17 index, whereas the presence of boron oxide tends to
18 reduce the refractive index.

19
20 By maintaining the relative concentrations of the
21 selected dopant species constant, a substantially
22 constant refractive index across the cladding layer 5
23 can be obtained. For example, by doping the cladding
24 layer 5 with phosphorus and boron it is possible to
25 reduce the sintering temperature and still maintain the
26 refractive index close to or matching that of the
27 buffer layer 3. Thus by increasing the phosphorus and
28 boron levels in the cladding interface portion 5a the
29 same refractive index as the buffer layer 3 is obtained
30 but the cladding interface portion 5a has a lower
31 sintering temperature than the sintering temperature of
32 the buffer layer 3. This provides a smoother interface
33 but also provides the advantage that the composite
34 layer is less susceptible to gas trapping.

35
36 The cladding composition is thus selected so that each

1 of the cladding interface portion 5a and the cladding
2 outer portion 5b have substantially the same refractive
3 index and so that this refractive index matches the
4 refractive index of the substrate 2 (or thermal oxide
5 buffer layer 3). For example, the cladding layer 5 can
6 be matched to the substrate/buffer layer so that
7 the thermal expansion coefficients are substantially
8 equal to 25×10^{-7} .

9
10 Referring now to Fig. 6, the concentration of dopants
11 in the cladding layer 5 is varied so that the cladding
12 material at the cladding interface portion 5a has the
13 lowest consolidation temperature T_{SAC} whereas the
14 consolidation temperature T_{SBS} of the cladding outer
15 portion 5b is higher. Away from the immediate vicinity
16 of the core 4b, the gradation of the cladding
17 composition may be increased to vary the consolidation
18 temperature as the cladding layer depth increases.

19
20 The thermal characteristics and conditions of the
21 optical waveguide and its method of fabrication will
22 now be discussed in more detail.

23
24 The temperatures to which the optical waveguide 1 is
25 subjected to during the consolidation phase of the
26 cladding layer 5 are varied at a rate determined by the
27 composition of the cladding layer 5 and by the
28 variation of the dopant concentrations as a function of
29 depth within the optical waveguide 1.

30
31 During consolidation of the cladding layer 5, the
32 temperature increases at such a rate as to ensure that
33 the cladding outer portion 5b consolidates fully only
34 once all gas trapped within the cladding interface
35 portion 5a has been fully expelled. This prevents gas
36 remaining in a partially consolidated layer from being

1 trapped by an overlying fully consolidated layer.

2

3 In one embodiment of the invention, the cladding
4 interface portion 5a has a softening temperature of
5 1100°C whereas the remaining cladding portion 5b has a
6 consolidation temperature of approx 1150°C. The
7 cladding interface portion 5a is thus fully
8 consolidated whilst the surrounding cladding outer
9 portion 5b is still only partially consolidated.

10

11 Fig. 7 indicates how the softening temperatures and
12 consolidation temperatures of each of the cladding
13 portions 5a and 5b vary in relation to each other.

14

15 The cladding layer 5, core layer 4 and substrate 2
16 compositions are selected to ensure that the
17 consolidation of any one of these does not cause any
18 thermal deformation of the rest of the optical
19 waveguide 1. Each of the cladding layer 5, core layer
20 4 and substrate 2 has a consolidation temperature which
21 is lower than the softening temperature of the
22 underlying portion. Alternatively, an additional
23 cladding and/or buffer layers can be formed in between
24 two layers of the waveguide.

25

26 The fabrication conditions for the cladding interface
27 portion 5a formed around the waveguide core 4b, are
28 provided below. These can be compared to the
29 conditions for forming the cladding outer portion 5b.
30 The cladding outer portion 5b has a composition
31 substantially different from that of the first cladding
32 portion 5a. The FHD conditions for forming the
33 cladding portions 5a, 5b are as follows:-

34

35

1	Core/Clad Interface		Remaining Cladding	
2	Portion (5a)		Portion (5b)	
3				
4	Bubbler	Flow Rate	Bubbler	Flow Rate
5	Gas	(sccm)	Gas	(sccm)
6				
7	SiCl ₄	150	SiCl ₄	150
8	PCL ₃	90	PCL ₃	73
9	BCl ₃	32	BCl ₃	26
10				
11	Transport	Flow Rate	Transport	Flow Rate
12	Gases		Gases	
13				
14	H ₂ :O ₂	2 Lmin ⁻¹ :4 Lmin ⁻¹	H ₂ :O ₂	2 Lmin ⁻¹ :4 Lmin ⁻¹
15				
16	The above flow rates are controlled so that the			
17	resulting composition of the cladding interface portion			
18	5a produces a refractive index for the cladding			
19	interface portion 5a which is substantially the same as			
20	the refractive index of the cladding outer portion 5b.			
21	This refractive index is selected to substantially			
22	match the refractive index of the buffer layer 3.			
23				
24	The compositions of both the cladding interface portion			
25	5a and the cladding outer portion 5b are controlled so			
26	that index matching can be achieved whilst minimising			
27	the potential for thermal deformation of the cladding			
28	layer 5 during the consolidation stage of fabrication.			
29				
30	Fig 8 illustrates a suitable temperature cycle			
31	according to the invention. In this example, during			
32	the consolidation process the temperature conditions			
33	are initially 650°C rising at 15°C min ⁻¹ to 850°C, and			
34	then further increasing to 1050°C at 5°C min ⁻¹ . The			
35	optical waveguide 1 remains substantially at 1050°C for			
36	approximately 60 minutes in an helium oxygen atmosphere			

1 (0.6 L min⁻¹ He and 0.2 L min⁻¹ O₂). The temperature
2 further rises to 1150°C min⁻¹ and remains at this upper
3 temperature for approximately 60 minutes before being
4 cooled to 650°C at -5°C min⁻¹. To summarise, the
5 temperature cycle is thus as follows:-

- 6
- 7 i) 650°C to 850°C at 15°C min⁻¹
 - 8 ii) 850°C to 1050°C at 5°C min⁻¹
 - 9 iii) 1050°C for 60 minutes
 - 10 iv) 1050°C to 1150°C at 5°C min⁻¹
 - 11 v) 1150°C for 60 minutes
 - 12 vi) 1150°C to 650°C at - 5°C min⁻¹

13

14 The softening temperature is the temperature at which
15 the viscosity of a consolidated layer is reduced
16 sufficiently for the consolidated layer to begin to
17 'flow'. During fabrication of the optical waveguide 1,
18 the softening temperatures of the cladding interface
19 portion 5a and at least one cladding outer portion 5b
20 are each controlled by the selection of suitable
21 dopants and dopant concentrations.

22

23 The cladding interface portion 5a has a softening
24 temperature $T_{SAS} = 1100^{\circ}\text{C}$. The cladding outer portion
25 5b has a consolidation temperature $T_{SBC} 1150^{\circ}\text{C}$ which has
26 been selected to exceed the softening temperature T_{SAS}
27 of the cladding interface portion 5a by a preferred
28 amount, 50°C.

29

30 If a temperature cycle such as Fig. 8 illustrates is
31 used to consolidate the cladding layer 5, then by
32 increasing the temperature from 600°C to 1100°C at 5°C
33 min⁻¹, the cladding interface portion 5a consolidates
34 first. This enables gas to be expelled through the
35 overlying partially consolidated cladding outer portion
36 5b.

1 To prevent premature consolidation of the cladding
2 outer portion 5b, the temperature range over which the
3 cladding layer 5 is heated includes a suitable
4 consolidation ramp rate of $5^{\circ}\text{C min}^{-1}$. This removes the
5 possibility of any portion of the cladding interface
6 portion 5a prematurely consolidating. Other means to
7 promote pore collapse may also be used, for example,
8 He gas may be included during the consolidation phase
9 to promote core collapse.

10

11 The high temperatures required to consolidate the
12 waveguide layers may be achieved by known techniques,
13 for example, passing a burner flame from a flame
14 hydrolysis burner over the deposited soot layer or by
15 placing the waveguide wafer 1 in a suitable furnace.

16

17 While several embodiments of the present invention have
18 been described and illustrated, it will be apparent to
19 those skilled in the art once given this disclosure
20 that various modifications, changes, improvements and
21 variations may be made without departing from the
22 spirit or scope of this invention.

23

24 For example, more than two cladding layers may be
25 formed in the composite multi-layer cladding, and the
26 composition of each cladding layer selected so that
27 joint or separate consolidation can occur.

28

29 Any range given herein may be extended or altered
30 without losing the effects sought, as will be apparent
31 to the skilled person for an understanding of the
32 teachings herein.

1 CLAIMS:

2

3 1. An optical waveguide (1) having:

4 a substrate (2);

5 a waveguide core (4b) formed on the substrate (2)

6 and embedded by a cladding layer (5), wherein the

7 composition of the cladding layer (5) is varied so that

8 the composition of a cladding interface portion (5a)

9 located in the proximity of an interface between the

10 waveguide core (4b) and the cladding layer (5) is

11 different from the composition of at least one cladding

12 outer portion (5b).

13

14 2. An optical waveguide (1) as claimed in claim 1,

15 wherein the consolidation temperature of the cladding

16 interface portion (5a) is lower than the consolidation

17 temperature of the said at least one cladding outer

18 portion (5b).

19

20 3. An optical waveguide (1) as claimed in claim 1 or

21 claim 2, wherein the softening temperature of the

22 cladding interface portion (5a) is lower than the

23 consolidation temperature of the said at least one

24 cladding outer portion (5b).

25

26 4. An optical waveguide (1) as claimed in any

27 preceding claim, wherein said at least one cladding

28 outer portion (5b) embeds said cladding interface

29 portion (5a).

30

31 5. An optical waveguide (1) as claimed in any

32 preceding claim, wherein said cladding composition is

33 varied by changing the concentration of at least one

34 dopant ion species in the cladding layer (5).

35

36 6. An optical waveguide (1) as claimed in claim 5,

1 wherein the dopant concentration of the cladding layer
2 (5) varies as a function of distance from the substrate
3 (2).
4

5 7. An optical waveguide (1) as claimed in claim 6,
6 wherein the dopant concentration of the cladding layer
7 (5) varies approximately as a function of distance from
8 the interface between the cladding layer (5) and the
9 waveguide core (4b).
10

11 8. An optical waveguide (1) as claimed in any
12 preceding claim, wherein said substrate (2) is a
13 silicon wafer.
14

15 9. An optical waveguide (1) as claimed any preceding
16 claim, wherein said substrate (2) further comprises at
17 least one buffer layer (3) formed thereon.
18

19 10. An optical waveguide (1) as claimed in claim 9,
20 wherein at least one of said at least one buffer layer
21 (3) is a thermally oxidised layer of the substrate (2).
22

23 11. An optical waveguide (1) as claimed in any one of
24 claims 5 to 10, wherein the cladding layer (5) is doped
25 with at least one ion species taken from the group
26 consisting of:

27 a transition element, a rare earth ion
28 species and/or a heavy metal ion species.
29

30 12. An optical waveguide (1) as claimed in claim 11,
31 wherein the cladding layer (5) is doped with at least
32 one ion species taken from the group consisting of:
33 phosphorus, boron, titanium, tantalum, aluminium,
34 lanthanum, niobium, and/or zirconium.
35

36 13. An optical waveguide (1) as claimed in any

1 preceding claim wherein the volume of the cladding
2 interface portion (5a) is substantially less than the
3 volume of said at least one cladding outer portion
4 (5b).

5
6 14. An optical waveguide (1) as claimed in any
7 preceding claim, wherein the depth of the cladding
8 interface portion (5a) upon the substrate (2)
9 substantially less than the maximum depth of the said
10 at least one cladding outer portion (5b).

11
12 15. An optical waveguide (1) as claimed in any one of
13 Claims 11 to 14, wherein the relative dopant
14 concentrations of Boron and Phosphorus in the cladding
15 interface portion (5a) and the cladding outer portion
16 (5b) provide a homogeneous refractive index throughout
17 the cladding layer.

18
19 16. An optical waveguide (1) as claimed in any
20 preceding claim, wherein the coefficient of thermal
21 expansion of the cladding layer (5) is substantially
22 the same as the coefficient of thermal expansion of the
23 substrate (2).

24
25 17. An optical waveguide (1) as claimed in any
26 preceding claim, wherein said cladding composition is
27 smoothly varied between said core/interface cladding
28 portion (5a) and said at least one cladding outer
29 portion (5b).

30
31 18. A method for fabricating an optical waveguide
32 having the steps of:
33 forming a substrate (2);
34 forming a waveguide core (4b) on the substrate
35 (2); and
36 forming a cladding layer (5) to embed said

1 waveguide core (4b) wherein the cladding composition is
2 varied so that the composition of a cladding interface
3 portion (5a) located in the proximity of an interface
4 between the waveguide core (4b) and the cladding layer
5 (5) is different from the composition of at least one
6 cladding outer portion (5b).

7

8 19. A method as claimed in Claim 18, wherein the step
9 of forming said substrate (2) includes the step of
10 forming an buffer layer (3) on said substrate.

11

12 20. A method as claimed in Claim 18 or Claim 19,
13 wherein the cladding layer (5) is formed by depositing
14 a particulate cladding soot and subsequently
15 consolidating the cladding soot.

16

17 21. A method as claimed in Claim 20, wherein the
18 cladding layer (5) forming the cladding interface
19 portion (5a) is not consolidated before the said at
20 least one cladding outer portion (5b) is deposited.

21

22 22. A method as claimed in Claim 21, wherein the
23 cladding layer (5) is consolidated in a single process
24 step.

25

26 23. A method as claimed in Claim 22, wherein the
27 cladding interface portion (5a) is at or above its
28 softening temperature when the said at least one
29 cladding outer portion (5b) reaches its consolidation
30 temperature.

31

32 24. A method as claimed in claim 23, wherein the
33 consolidation temperature of the cladding interface
34 portion (5a) is lower than the consolidation
35 temperature of the said at least one cladding outer
36 portion (5b).

1 25. A method as claimed in claim 23 to 24, wherein the
2 waveguide core (4b) and/or cladding layer (5) are
3 deposited using a flame hydrolysis deposition process
4 and/or a plasma enhanced chemical vapour deposition
5 process and/or a low pressure chemical vapour
6 deposition process.

7
8 26. A method as claimed in any one of Claims 18 to 25,
9 wherein in at least one portion of said cladding layer
10 (5) is doped with at least one dopant ion species taken
11 from the group consisting of:

12 a transition element, a rare earth element and/or
13 a heavy metal element.

14
15 27. A method as claimed in claim 25, wherein at least
16 one portion of said cladding layer (5) is doped with at
17 least one dopant ion species taken from the group
18 consisting of:

19 phosphorus, boron, titanium, tantalum, aluminium,
20 lanthanum, niobium, zirconium.

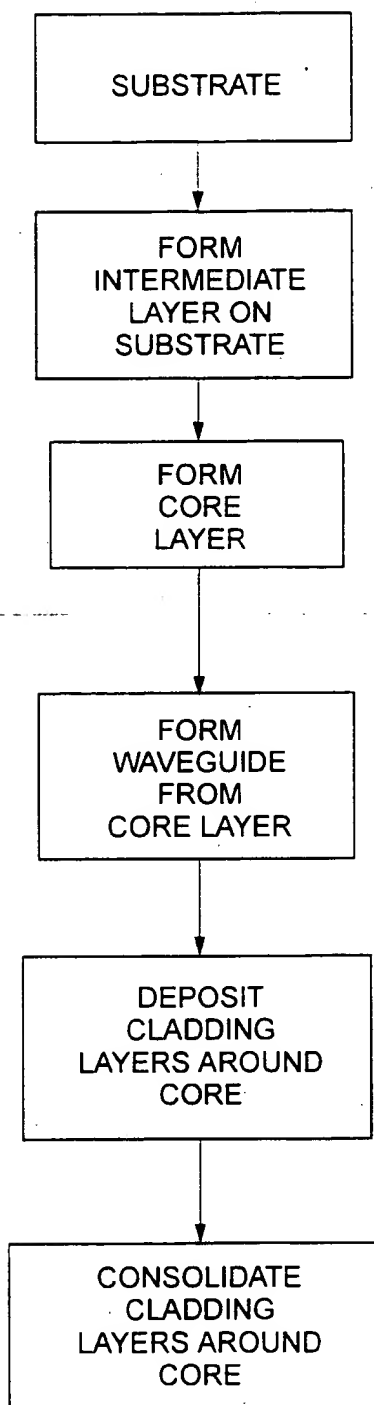
21
22 28. A method as claimed in any one of claims 18 to 27,
23 wherein the concentrations of the selected dopant ion
24 provide a refractive index for the buffer layer (3) and
25 cladding interface layer (5a) which differs from the
26 refractive index of the waveguide core (4b) by between
27 0.2-2%.

28
29 29. A method as claimed in any one of claims 20 to 28,
30 wherein during the consolidation stage of at least one
31 portion of said cladding layer (5), the consolidation
32 temperature conditions include a stage where the
33 temperature remains above the softening temperature of
34 the cladding interface portion.

35
36 30. An optical waveguide (1) with a cladding layer (5)

- 1 including at least two distinct portions (5a,5b) with
- 2 different compositions as described substantially
- 3 herein and with reference to the accompanying drawings.

1 / 5

*Fig. 1*

2 / 5

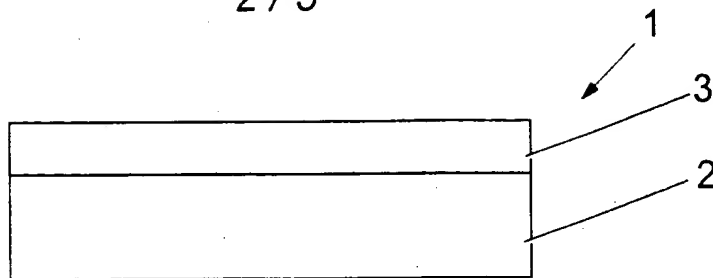


Fig. 2A

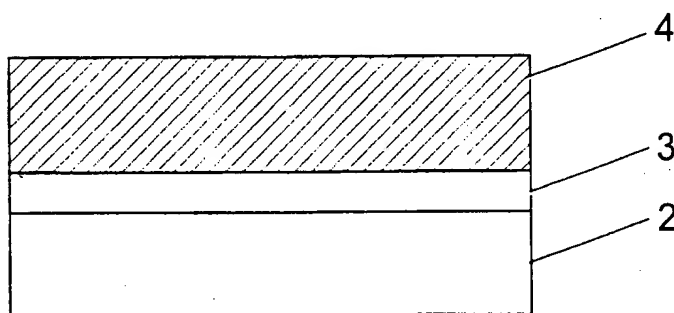


Fig. 2B

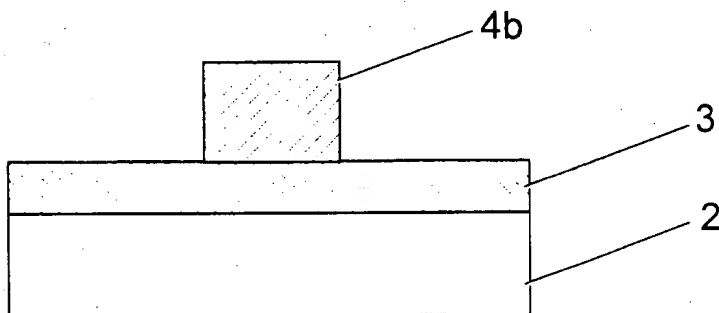


Fig. 2C

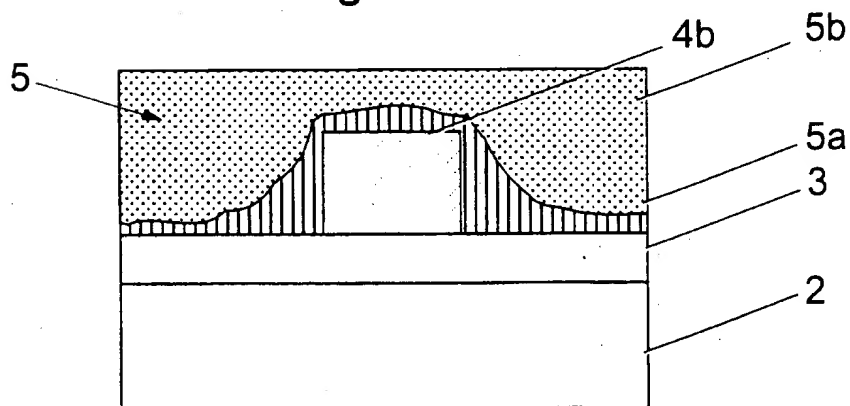


Fig. 2D

3 / 5

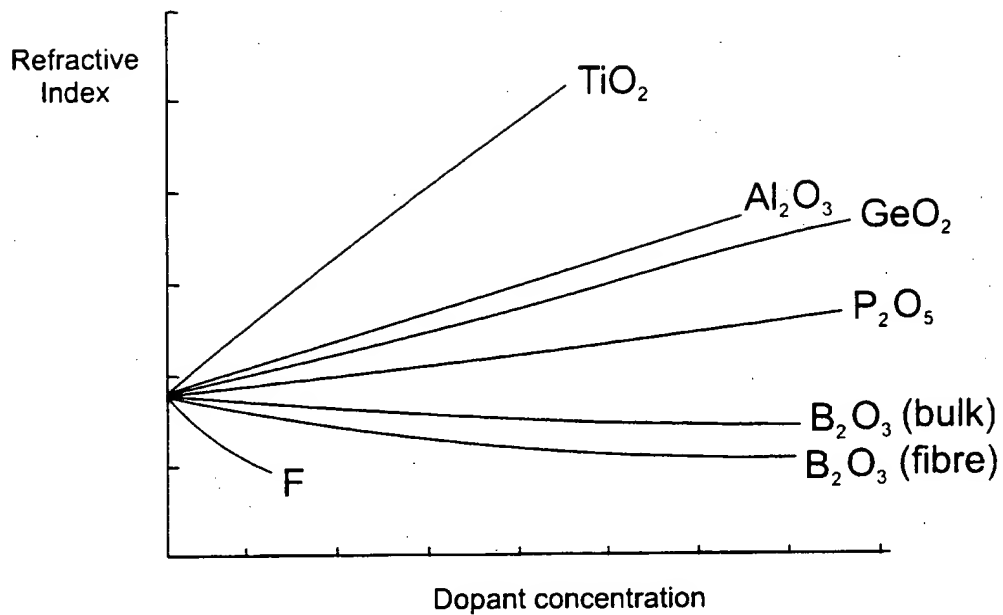


Fig. 3

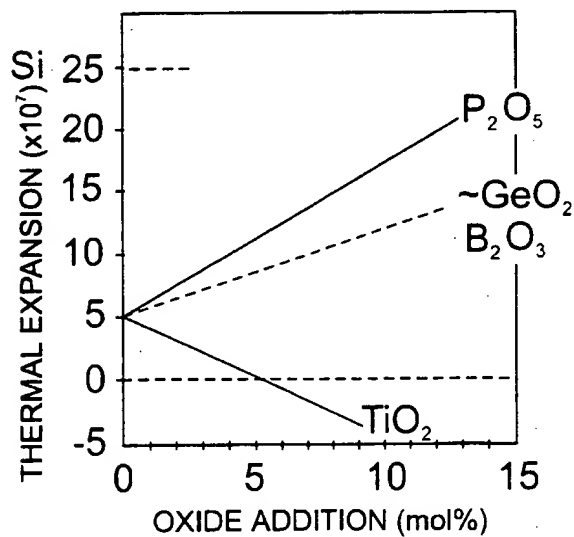


Fig. 4

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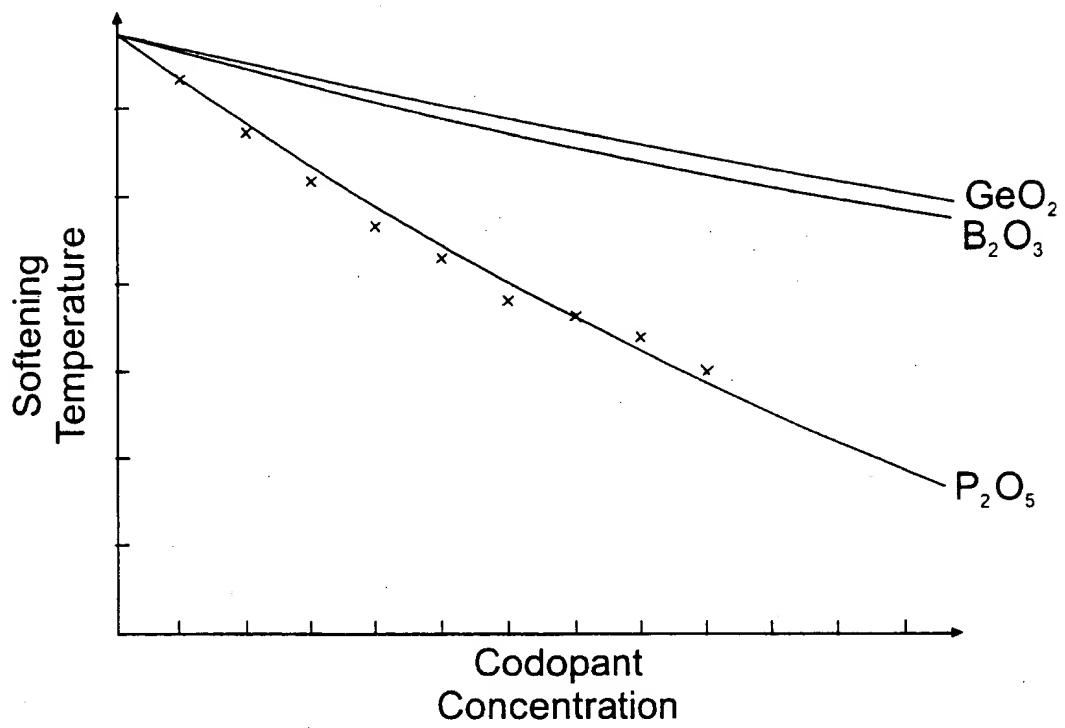


Fig. 5

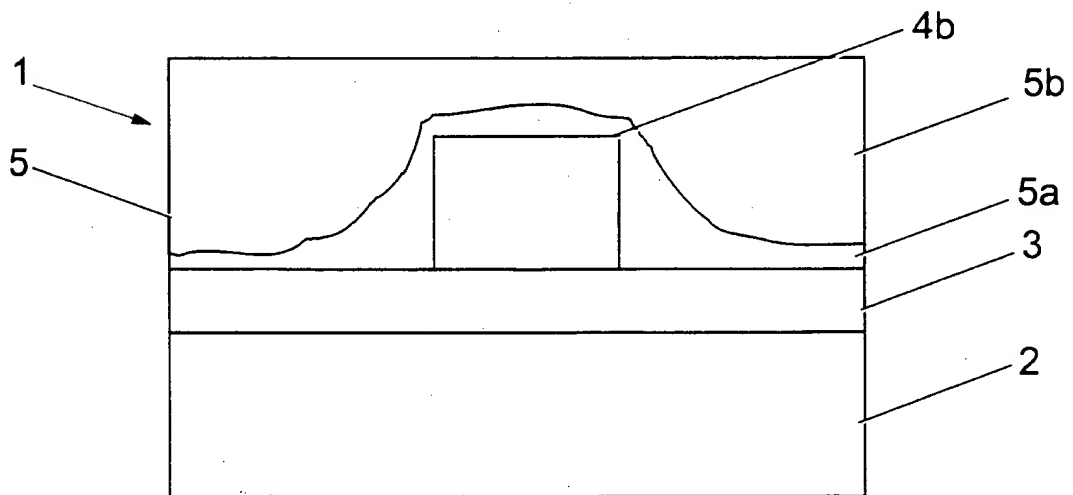


Fig. 6

5 / 5

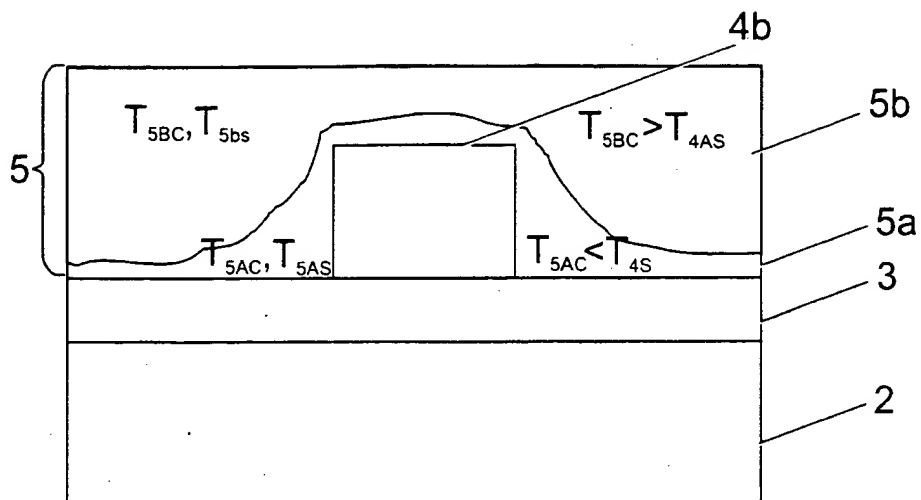


Fig. 7

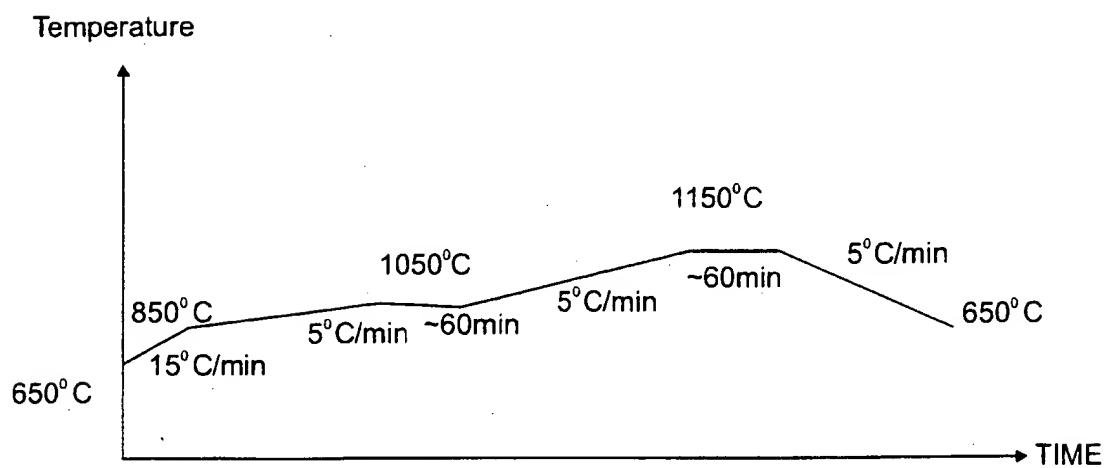


Fig. 8

INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 00/03855

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 G02B6/12

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G02B C03B C03C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 322 744 A (HITACHI LTD) 5 July 1989 (1989-07-05) column 4, line 50 -column 5, line 10; figures 2A,2B,10B,11B column 7, line 3 - line 17	1,2,4-7, 9,11,12, 15,18, 20,22, 26,28,30
A	US 4 425 146 A (IZAWA TATSUO ET AL) 10 January 1984 (1984-01-10) figure 9	1,5
X	WO 93 16403 A (BRITISH TELECOMM) 19 August 1993 (1993-08-19) page 8, line 1 -page 9, line 6; figure 2 -/-	1,4-9, 11-15, 18-20, 26,30



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

* Special categories of cited documents :

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O document referring to an oral disclosure, use, exhibition or other means

P document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

G document member of the same patent family

Date of the actual completion of the international search

12 March 2001

Date of mailing of the international search report

16/03/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Ciarrocca, M

INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 00/03855

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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X	PATENT ABSTRACTS OF JAPAN vol. 017, no. 448 (P-1594), 17 August 1993 (1993-08-17) & JP 05 100123 A (FUJITSU LTD), 23 April 1993 (1993-04-23) abstract -----	1,4,13, 14,18, 20,30

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